



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : Bill Grubba  
Serial No. : 10/687,849  
Filing Date : October 17, 2003  
Title : PORTABLE DRAG BOX WITH AUTOMATED  
SHEARING DEVICE

Group/Art Unit : Not Yet Assigned  
Examiner : Not Yet Assigned  
Confirmation No. : Not Yet Assigned

Atty. Docket No. : 506422.0059

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

NO FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. § 1.56 and § 1.97(b), the references listed below and on the attached Form PTO/SB/08A (Substitute for Form 1449A-B/PTO) are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed. Submission of these references is not an admission that the references constitute prior art.

**U.S. PATENTS**

<b>Patent No.</b>	<b>Inventor(s)</b>	<b>Issue Date</b>
4,014,525	Oda, et al.	3-29-1977
5,000,615	Murray	3-19-1991
5,340,214	Juzwiak	8-23-1994
5,512,093	Huege, et al.	4-30-1996
6,079,901	Banks, et al.	6-27-2000

**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to NO FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: 1/7/04

Signature: J. Barnard

Printed Name: Jessica V. Barnard

The Director is hereby authorized to charge any additional amount required, or credit any overpayment, to Deposit Account No. 19-4409.

### **U.S. PATENTS**

<b>Patent No.</b>	<b>Inventor(s)</b>	<b>Issue Date</b>
6,554,080	Horner	4-29-2003

### **FOREIGN PATENTS**

<b>Country</b>	<b>Patent No./Publication No.</b>	<b>Publication Date</b>
None.		

### **OTHER REFERENCES**

Midland Machinery Co., Inc. Mix Trailers; T-Series;  
<http://www.midlandmachinery.com/mix-trailers.htm>; 2 pages; 12/17/2001

Midland Machinery Co., Inc.; Manufacturers of Road Maintenance Equipment;  
<http://www.midlandmachinery.com/nova-paver.htm>; 6 pages; 12/17/2001

Midland Machinery Co., Inc.; ARRA New Technology Session;  
[www/midlandmachinery.com/arra\\_new\\_technology\\_session.htm](http://www.midlandmachinery.com/arra_new_technology_session.htm); 2 pages; 12/17/2001

Midland Machinery Co., Inc.; Mix-Paver<sup>®</sup> 800;  
<http://www.midlandmachinery.com/mix-paver.htm>; 2 pages; 12/17/2001

Midland Machinery Co., Inc.; [http://www.midlandmachinery.com/Diag\\_B.htm](http://www.midlandmachinery.com/Diag_B.htm); 1 page; 12/17/2001

Midland Machinery Co., Inc.; [http://www.midlandmachinery.com/Diag\\_A.htm](http://www.midlandmachinery.com/Diag_A.htm); 1 page; 12/17/2001

Aggregates & Roadbuilding: Canada's "Rock to Road" Magazine; Urban roads – a growing market for cold-in-place recycling; <http://www.rocktoroad.com/urbanroads.htm>; 5 pages; 12/17/2001

Asphalt Contractor; Bergkamp's M1 continuous Micro-surfacing paver;  
<http://www.asphalt.com/HHIW/bergkamp.html>; 2 pages; 12/17/2001

Applicant respectfully requests that these references be made of record in the above-identified application and considered by the Examiner during prosecution of the application.

It is respectfully submitted that the present invention as claimed is patentable over the listed references.

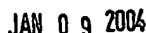
This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or before the mailing of a first Office Action on the merits. Accordingly, no fee is due.

Acknowledgment of receipt is respectfully requested.

Respectfully submitted,

By: 

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## Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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**Complete if Known**

Application Number:	10/687.849
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<b>Filing Date:</b>	October 17, 2003
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First Named Inventor:	Bill Grubba
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Group Art Unit:	Not Yet Assigned
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Examiner Name:	Not Yet Assigned
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Attorney Docket Number:	506422.0059
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## U. S. PATENT DOCUMENTS

[illegible]

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



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<b>Substitute for Form 1449B/PTO (Modified)</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number:	10/687,849		
		Filing Date:	October 17, 2003		
		First Named Inventor:	Bill Grubba		
		Group Art Unit:	Not Yet Assigned		
		Examiner Name:	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number:	506422.0059

**OTHER REFERENCES – NON PATENT LITERATURE DOCUMENTS AND INFORMATION**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Midland machinery Co., Inc. Mix Trailers; T-Series; <a href="http://www.midlandmachinery.com/mix-trailers.htm">http://www.midlandmachinery.com/mix-trailers.htm</a> ; 2 pages; 12/17/2001	
		Midland Machinery Co., Inc.; Manufacturers of Road Maintenance Equipment; <a href="http://www.midlandmachinery.com/nova-paver.htm">http://www.midlandmachinery.com/nova-paver.htm</a> ; 6 pages; 12/17/2001	
		Midland Machinery Co., Inc.; ARRA New Technology Session; <a href="http://www.midlandmachinery.com/arra_new_technology_session.htm">www.midlandmachinery.com/arra_new_technology_session.htm</a> ; 2 pages; 12/17/2001	
		Midland Machinery Co., Inc.; Mix-Paver® 800; <a href="http://www.midlandmachinery.com/mix-paver.htm">http://www.midlandmachinery.com/mix-paver.htm</a> ; 2 pages; 12/17/2001	
		Midland Machinery Co., Inc.; <a href="http://www.midlandmachinery.com/Diag_B.htm">http://www.midlandmachinery.com/Diag_B.htm</a> ; 1 page; 12/17/2001	
		Midland Machinery Co., Inc.; <a href="http://www.midlandmachinery.com/Diag_A.htm">http://www.midlandmachinery.com/Diag_A.htm</a> ; 1 page; 12/17/2001	
		Aggregates & Roadbuilding: Canada's "Rock to Road" Magazine; Urban roads – a growing market for cold-in-place recycling; <a href="http://www.rocktoroad.com/urbanroads.htm">http://www.rocktoroad.com/urbanroads.htm</a> ; 5 pages; 12/17/2001	
		Asphalt Contractor; Bergkamp's M1 continuous Micro-surfacing paver; <a href="http://www.asphalt.com/HHIW/bergkamp.html">http://www.asphalt.com/HHIW/bergkamp.html</a> ; 2 pages; 12/17/2001	

Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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